

2015

6th Semester

COMMERCE

Honours

Paper No. : BC-605

Financial Market Operations

Full Marks : 70

Pass Marks : 45%

Time : 3 hours

*The figures in the margin indicate full marks
for the questions*

Answer **all** questions

1. (a) Explain the structure of Indian money market. 4

Or

- (b) Give a recent trend of Indian money market.

2. (a) Explain the role and function of National Stock Exchange. 14

Or

- (b) What do you mean by listing of securities? Highlight the consequences of non-listing of securities
3. (a) Discuss the main provisions of the Securities Contract and Regulation Act. 14

Or

- (b) Explain the role of Company Law Board as a grievance cell in stock exchanges.
4. (a) Explain the role of brokers and sub-brokers in capital market. 14

Or

- (b) State the qualifications required for the registration as a sub-broker and stock-broker.
5. (a) Discuss the obligations and responsibilities of a Merchant Banker as an intermediary in the primary market. 14

Or

- (b) Explain the concept of credit rating and state different types of credit rating.

4+10=14
